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7599-001 DIV (BURF-1 DIV)

COPY OF PAPERS  
ORIGINALLY FILED



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FIRST-NAMED INVENTOR: DeGroot  
FOR: HIV VACCINE CANDIDATE PEPTIDES

October 26, 2001  
Boston, Massachusetts

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231



## REQUEST FOR FILING A CONTINUING UTILITY APPLICATION UNDER 37 C.F.R. §1.53(b)

1. This is a request for filing a continuing application under 37 C.F.R. §1.53(b).  
(Check the appropriate boxes and supply the requisite information)

This application is a:

Continuation;  Divisional;  Continuation-in-part of prior application U.S.S.N. 09/351,036.

2. Priority to the above application(s) is claimed under:

35 U.S.C. §120

Prior application information:

Examiner: Parkin; Group Art Unit: 1648.

35 U.S.C. §119

Priority of application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. §119.

The certified copy has been filed in prior application U.S.S.N. \_\_\_/\_\_\_, \_\_\_ on [Date].

The certified copy will follow.

### APPLICATION ELEMENTS:

3.  Specification and Drawings (Total pages:):

Specification (58 pages); Claims (2 pages); Abstract (1 page); and  
Drawings: 11 sheets; FIGS. 1-11.

Formal

Informal

4.  Oath or Declaration (Total pages: 3):

- (a)  Newly executed (original)
- (b)  Copy from a prior application (37 C.F.R. §1.63(d))

5.  Incorporation by Reference (*can use if Box 4(b) is checked*)

The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4(b), is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6.  Statement Claiming Small Entity Status

Copy of Statement filed in prior application (status still proper and desired)

FIRST-NAMED INVENTOR: Groot  
Request for Continuing Nonprovisional Application (37 C.F.R. §1.53(b))

ACCOMPANYING APPLICATION PARTS:

7. Fee Calculation:

- A Preliminary Amendment is enclosed herewith. Please enter the claim amendments prior to calculating the filing fee.

CLAIMS AS FILED					
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 C.F.R. 1.16(a) \$740.00
Total Claims (37 C.F.R. 1.16(c))	12	- 20 =	0	\$ 18.00	0
Independent Claims (37 C.F.R. 1.16(b))	3	- 3 =	3	\$84.00	\$
Multiple Dependent Claim(s), if any (37 C.F.R. 1.16(d))				\$280.00	0
				SUBTOTAL:	\$740.00
				Reduction by 50% for filing by small entity:	- \$370.00
				<b>TOTAL FEE:</b>	<b>\$370.00</b>

8.  A check in the amount of **\$370.00** (#10662) is enclosed.

9.  Deletion of Inventor(s)

This application is filed by fewer than all the inventors named in the prior application,  
37 C.F.R. §1.53(d)(4).

- DELETE the following inventor(s) named in the prior nonprovisional application:

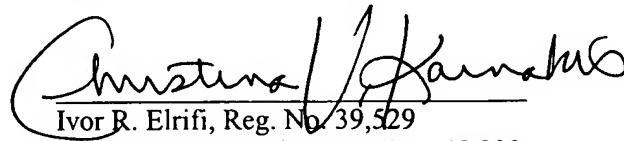
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- The inventor(s) to be deleted are set forth on a separate sheet attached hereto.

10.  The Commissioner is hereby authorized to credit overpayments or charge all additional fees to Deposit Account No. 50-0311, Ref. No. 17999-001 DIV (BURF-1 DIV).

11.  Return Receipt Postcard Enclosed.

Respectfully submitted,

  
Ivor R. Elrifi, Reg. No. 39,529

Christina V. Karnakis, Reg. No. 45,899

Attorney(s) for Applicants

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Express Mail No.: EJ695376365US  
Date of Deposit: October 26, 1999

**PATENT APPLICATION**  
**ATTORNEY DOCKET NO: 17999-001 (BURF-1)**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: DeGroot  
SERIAL NO: 09/351,036  
DATE FILED: July 9, 1999 ART UNIT 1642  
ASSIGNEE: Brown University Research Foundation  
FOR: HIV VACCINE CANDIDATE PEPTIDES

Assistant Commissioner for Patents  
Washington, D.C. 20231

Providence, Rhode Island

**STATEMENT CLAIMING SMALL ENTITY STATEMENT  
UNDER 37 C.F.R. §§ 1.9(e) and 1.27(b) – NON-PROFIT ORGANIZATION**

I hereby state that I am an official empowered to act on behalf of the nonprofit organization BROWN UNIVERSITY RESEARCH FOUNDATION, 42 Charlesfield Street, Providence, RI 02912, a nonprofit scientific or educational under statute of the state of Rhode Island.

I hereby state that the BROWN UNIVERSITY RESEARCH FOUNDATION qualifies as a nonprofit organization, as defined in 37 C.F.R. § 1.9(e), for purposes of paying reduced fees to the United States Patent and Trademark Office under 35 U.S.C. §§ 41(a) and (b), with regard to the invention described in the above-identified application.

I hereby state that rights under contract or law have been conveyed to, and remain with, BROWN UNIVERSITY RESEARCH FOUNDATION, with regard to the above identified invention.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate.

APPLICANT: DeGroot  
SERIAL NO: 09/351,036

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of person signing:

William Jackson

William Jackson  
President  
BROWN UNIVERSITY RESEARCH FOUNDATION  
42 Charlesfield Street  
Providence, RI 02912

Date: August 18, 1999

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